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U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No:

56055-0013

A
J1002 U.S. PRO
09/884816
06/18/01

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of () application identifier or (X) first named inventor, W. Reed Hastings, et al., entitled APPROACH FOR ESTIMATING USER RATINGS OF ITEMS, for a(n):

- (X) Continuing Application (prior application not abandoned):
() Continuation () Divisional (X) Continuation-in-part (CIP)
of prior application No: 09/561,041 Filed on: April 28, 2000.
- Priority Claimed of U.S. Provisional Application Ser. No. 60/212,193 filed 6/16/00 and U.S. Provisional Application Ser. No. 60/244,793 filed 10/31/00.
- (X) A statement claiming priority under 35 USC § 120 has been added to the specification.
- Enclosed are:
- (X) Specification 78 Total Pages; (X) Drawing(s); 9 Total Sheets; (X) Cover Sheet 1 Page
(X) Oath or Declaration: 3 Pages
(X) A Newly Executed Combined Declaration and Power of Attorney:
(X) Signed. () Unsigned. () Partially Signed.
() A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).
() Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.
() Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 1.63(d)(2)).
() Power of Attorney. (X) Return Receipt Postcard.
() Associate Power of Attorney. (X) A Check in the amount of \$ 784.00 for the Filing Fee.
() Preliminary Amendment. (X) Information Disclosure Statement and Form PTO-1449.
(X) Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
(X) A Duplicate Copy of this Form for Processing Fee.
() A Certified Copy of Priority Documents (if foreign priority is claimed).
(X) Applicant(s) is entitled to small entity status. See 37 CFR 1.27.
() Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.
(X) Recordation of Assignment Cover Sheet and executed Assignment.
(X) Other: Fee Transmittal (in duplicate) and Check in the amount of \$40 to record assignment, 2 Cited

References.

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEES
Total Claims	41	21	\$9.00	\$ 189.00
Independent Claims	9	6	\$40.00	\$ 240.00
Multiple Dependent Claims (if applicable)				\$0.00
Assignment Recording Fee				\$40.00
Basic Filing Fee				\$355.00
			Total Filing Fee	\$ 784.00

Charge \$ to Deposit Account 50-1302 pursuant to 37 CFR § 1.25. Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

By: Edward A. Becker

Edward A. Becker, Attorney of Record, Reg. No.37,777

Date: June 18, 2001

Correspondence Address:

Hickman Palermo Truong & Becker, LLP
1600 Willow Street
San Jose, California 95125-5106
Telephone: (408) 414-1080
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I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

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J1031 U.S. PTO
06/81/01

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 AND 1.28

TOTAL AMOUNT OF PAYMENT (\$824.00)

Complete if Known

Application Number	Not Yet Assigned
Filing Date	June 18, 2001
First Named Inventor	W. Reed HASTINGS, et al.
Examiner Name	Not Yet Assigned
Group/Art Unit	Not Yet Assigned
Attorney Docket No.	56055-0013

METHOD OF PAYMENT (check one)

1. Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Deposit Account Number
50-1302Deposit Account Name
Hickman Palermo Truong & Becker, LLP

2. Payment Enclosed:

 Check Money Order Other

3. Applicant(s) is entitled to small entity status.

See 37 CFR 1.27

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code	Fee \$	Small Entity Fee Code — \$	Fee Description	Fee Paid
105	130	205	65 Surcharge – late filing fee or oath	
127	50	227	25 Surcharge – late provisional filing fee or cover sheet.	
139	130	139	130 Non-English specification	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	
116	390	216	195 Extension for reply within second month	
117	890	217	445 Extension for reply within third month	
118	1,390	218	695 Extension for reply within fourth month	
128	1,890	228	945 Extension for reply within fifth month	
119	310	219	155 Notice of Appeal	
120	310	220	155 Filing a brief in support of an appeal	
121	270	221	135 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive – unavoidable	
141	1,210	241	605 Petition to revive – unintentional	
142	1,210	242	605 Utility issue fee (or reissue)	
143	430	243	215 Design issue fee	
144	580	244	290 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Petitions related to provisional applications	
126	240	126	240 Submission of information Disclosure Stmt	
581	40	581	40 Recording each patent assignment per property (times number of properties)	40.00
146	690	246	345 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	690	249	345 For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____				
Other fee (specify) _____				
*Reduced by Basic Filing Fee Paid			SUBTOTAL (3)	(\$40.00)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Edward A. Becker	Registration No. (Attorney/Agent)	37,777	Telephone	(408) 414-1080
Signature	<i>Edward A. Becker</i>			Date	June 18, 2001

WARNING:

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REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)		First Named Inventor	HASTINGS, et al.
		Title	APPROACH FOR ESTIMATING USER RATINGS OF ITEMS
		Atty. Docket Number	56055-0013

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

June 18, 2001

Date



Signature

Edward A. Becker, Reg. No. 37,777

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**